A Framework for the Automatic Formal Verification of Refinement from COGENT to C

<u>Christine Rizkallah</u>⁴, Japheth Lim¹, Yutaka Nagashima¹, Thomas Sewell^{1,2}, Zilin Chen^{1,2}, Liam O'Connor^{1,2}, Toby Murray^{1,3}, Gabriele Keller^{1,2}, and Gerwin Klein^{1,2}

¹ Data61 (formerly NICTA), CSIRO, Sydney, Australia

² University of New South Wales, Sydney, Australia

³ University of Melbourne, Australia

⁴ University of Pennsylvania, Philadelphia, PA, USA

ITP, Nancy, France 24th of August 2016

Motivation File systems are too important to remain unverified

Motivation

File systems are too important to remain unverified

Problem

There are many file systems and they are huge (\approx seL4)

Motivation

File systems are too important to remain unverified

Problem

There are many file systems and they are huge (\approx seL4)

Aim

Create method for feasibly verifying file systems

Motivation

File systems are too important to remain unverified

Problem

There are many file systems and they are huge (\approx seL4)

Aim

Create method for feasibly verifying file systems

Idea

Automate large portions of the verification process

Motivation

File systems are too important to remain unverified

Problem

There are many file systems and they are huge (\approx seL4)

Aim

Create method for feasibly verifying file systems

Idea

Automate large portions of the verification process

Sounds good, but **HOW**?

 Purely functional languages allow for an easier, more direct style of formal reasoning about code

- Purely functional languages allow for an easier, more direct style of formal reasoning about code
- Design a restricted purely functional language, expressive enough to describe most of the file system code

- Purely functional languages allow for an easier, more direct style of formal reasoning about code
- Design a restricted purely functional language, expressive enough to describe most of the file system code
- ► Use type systems techniques (linear types) to enforce properties such as memory safety at compile time

- Purely functional languages allow for an easier, more direct style of formal reasoning about code
- Design a restricted purely functional language, expressive enough to describe most of the file system code
- ► Use type systems techniques (linear types) to enforce properties such as memory safety at compile time
- Create a certifying compiler to automate the low level "boring" parts of the verification

► COGENT is a functional language with a linear type system.

- ► COGENT is a functional language with a linear type system.
- ► COGENT's certifying compiler automatically generates:
 - 1. efficient C code
 - 2. a formal model of the code that is easy to reason about
 - 3. a machine-checked proof linking the two (in Isabelle)

- ► COGENT is a functional language with a linear type system.
- ► COGENT's certifying compiler automatically generates:
 - 1. efficient C code
 - 2. a formal model of the code that is easy to reason about
 - 3. a machine-checked proof linking the two (in Isabelle)
- ▶ implemented BilbyFs and ext2 file systems in COGENT
- verified functional correctness of key BilbyFs operations

- ► COGENT is a functional language with a linear type system.
- ► COGENT's certifying compiler automatically generates:
 - 1. efficient C code
 - 2. a formal model of the code that is easy to reason about
 - 3. a machine-checked proof linking the two (in Isabelle)
- implemented BilbyFs and ext2 file systems in COGENT
- verified functional correctness of key BilbyFs operations
 - relied on automating large parts of the verification

How does the Certifying Compiler Automatically Generate Refinement Theorems and Proofs?

How does the Certifying Compiler Automatically Generate Refinement Theorems and Proofs?

But First Let's Get Familiar with COGENT

The COGENT Language

- linearly typed, restricted, polymorphic, higher-order, purely functional language
- ▶ has typical let, if, ..., for records take, put (linearity)
- ▶ COGENT programs rely on an external library of abstract data types (ADTs) for data structures like arrays, lists, red-black trees, etc.
- loops are implemented as iterators over ADTs

Linear Type System

- ▶ Variables with a linear type must be used exactly once.
- ► COGENT's linear type system:
 - allows generating efficient imperative code with in-place updates
 - assists memory management
 - prevent errors such as use-after-free, memory leaks, pointer mismanagement in error-handling, etc.

COGENT Example: Flip

$$\begin{array}{ll} flip & :: \{ \mathrm{f} \ :: \, \mathrm{U8} \} \ \mathrm{w} \to \{ \mathrm{f} \ :: \, \mathrm{U8} \} \ \mathrm{w} \\ flip & x = \\ & \mathbf{take} \ x' \ \{ \mathrm{f} = y \} = x \\ & \mathbf{in} \ \mathbf{if} \ y == 0 \\ & \mathbf{then} \ \mathbf{put} \ x'.\mathrm{f} := 1 \\ & \mathbf{else} \ \mathbf{put} \ x'.\mathrm{f} := 0 \end{array}$$

Variables with a linear type must be used exactly once.

let x = allocDataand y = xand $_{-} = free x$ in y

Variables with a linear type must be used exactly once.

let x = allocDataand $y = \mathbf{x}$ and _ = free \mathbf{x} in y

Variables with a linear type must be used exactly once.

$$let x = allocData$$

in ()

Variables with a linear type must be used exactly once.

Variables with a linear type must be used exactly once.

Variables with a linear type must be freed or returned.

Variables with a linear type must be used exactly once.

let x = allocData
in if condition then Some x
 else None

Variables with a linear type must be freed or returned.

The linear type system enables the conversion from purely functional to imperative code with in-place memory update.

The linear type system enables the conversion from purely functional to imperative code with in-place memory update.

The C code uses the same variables in memory for x and y.

The linear type system enables the conversion from purely functional to imperative code with in-place memory update.

The C code uses the same variables in memory for x and y.

COGENT needs no garbage collector.

Why can we get away with type system and restrictions?

Idea

- ▶ Write most code in COGENT to simplify its verification
- Write a small part in C and verify it manually



▶ Certifying compiler: certifies correctness of its compilation.



- ▶ Certifying compiler: certifies correctness of its compilation.
- ► COGENT's certifying compiler automatically generates:
 - 1. efficient C code
 - 2. a formal HOL spec. of the code that is easy to reason about
 - 3. a machine-checked proof linking the two (in Isabelle)

- ▶ The compiler uses an underlying routine to
 - ▶ discharge cumbersome C safety obligations and
 - ▶ provide a HOL emb. more amenable to verification.

- ▶ The compiler uses an underlying routine to
 - ▶ discharge cumbersome C safety obligations and
 - ▶ provide a HOL emb. more amenable to verification.
- ► Theorem: When C executes to a value, HOL spec. evaluates similarly.

- ▶ The compiler uses an underlying routine to
 - ▶ discharge cumbersome C safety obligations and
 - ▶ provide a HOL emb. more amenable to verification.
- ► Theorem: When C executes to a value, HOL spec. evaluates similarly.
- ▶ Hence, proofs about HOL spec. also hold for C code.

- ▶ The compiler uses an underlying routine to
 - ▶ discharge cumbersome C safety obligations and
 - ▶ provide a HOL emb. more amenable to verification.
- Theorem: When C executes to a value, HOL spec. evaluates similarly.
- ▶ Hence, proofs about HOL spec. also hold for C code.
- ▶ This means we can now prove theorems using low-effort equational reasoning on HOL spec. rather than deal with tedious C, and the theorems also hold for the C code!
COGENT: Certifying Compiler

Refinement

- ▶ The compiler uses an underlying routine to
 - ▶ discharge cumbersome C safety obligations and
 - ▶ provide a HOL emb. more amenable to verification.
- ► Theorem: When C executes to a value, HOL spec. evaluates similarly.
- ▶ Hence, proofs about HOL spec. also hold for C code.
- ▶ This means we can now prove theorems using low-effort equational reasoning on HOL spec. rather than deal with tedious C, and the theorems also hold for the C code!

Performance

• The performance of the generated C is similar to that of hand written C.

COGENT Compiler uses Pre-Existing Tools Simpl [Schirmer 2005]

▶ Imperative language embedded into Isabelle/HOL

C-Parser [Norrish 2012]

▶ straightforward translation from C to Simpl

AutoCorres [Greenaway et al. 2012]

- \blacktriangleright converts Simpl to monadic representation in Isabelle/HOL
- verified simplifications
- ▶ output meant for manual reasoning
- ▶ we tweak AutoCorres to make its output more predictable (for automation on top)

How does the Certifying Compiler Automatically Generate Refinement Theorems and Proofs?

COGENT Example: Back to Flip

$$\begin{array}{lll} 1 & flip :: \{ {\rm f} :: {\rm U8} \} \, {\rm w} \to \{ {\rm f} :: {\rm U8} \} \, {\rm w} \\ 2 & flip \, x = \\ 3 & {\rm take} \, x' \, \{ {\rm f} = y \} = x \\ 4 & {\rm in} \, {\rm if} \, y == 0 \\ 5 & {\rm then} \, {\rm put} \, x'.{\rm f} := 1 \\ 6 & {\rm else} \, {\rm put} \, x'.{\rm f} := 0 \end{array}$$

COGENT Example: Generated HOL and C Flip

Functional Specification (HOL)

```
flip :: {f :: U8} \rightarrow {f :: U8}
    flip x =
 2
 3
        take x' {f = y} = x
 4
        in let tmp_1 = 0
           and tmp_2 = (y = tmp_1)
 5
           in if tmp2
 6
 7
               then let tmp_3 = 1
                      and x'' = \mathbf{put} \ x'.\mathbf{f} := tmp_3
 8
                      in x''
 9
10
               else let tmp_4 = 0
                     and x'' = \mathbf{put} \ x'.\mathbf{f} := tmp_4
11
                     in x''
12
```

C code (monadic representation)

```
flip_C :: \operatorname{rec}_1 \operatorname{ptr} \Rightarrow (\operatorname{rec}_1 \operatorname{ptr}, \sigma) \operatorname{nondet\_monad}
      flip_C x = \mathbf{do}
  2
  3
           guard (\lambda \sigma. is-valid \sigma x);
           y \leftarrow \mathbf{gets} \ (\lambda \sigma. \ \sigma[r].\mathbf{f});
  4
  5
           tmp_1 \leftarrow \mathbf{return} \ 0;
           tmp_2 \leftarrow \mathbf{return \ bool} \ (y = tmp_1);
  6
  7
           tmp_{result} \leftarrow condition \ (bool \ tmp_2 \neq 0)
 8
                    (\mathbf{do} \ tmp_3 \leftarrow \mathbf{return} \ 1;
                            guard (\lambda \sigma. is-valid \sigma x);
 9
10
                            modify (\lambda \sigma, \sigma[x], \mathbf{f} := tmp_3);
                            return x od)
11
12
                    (do tmp_4 \leftarrow return 0;
13
                            guard (\lambda \sigma. is-valid \sigma x);
14
                            modify (\lambda \sigma, \sigma[x], \mathbf{f} := tmp_4);
15
                            return x od):
16
           return tmp<sub>result</sub>
17
           od
```







Christine Rizkallah & al. | Automatic Verification of Refinement from COGENT to C



Christine Rizkallah & al. | Automatic Verification of Refinement from COGENT to C



Christine Rizkallah & al. | Automatic Verification of Refinement from COGENT to C

















Christine Rizkallah & al. | Automatic Verification of Refinement from COGENT to C





How did we prove refinement (update to monadic sem.)? Data Relations and Refinement Predicate

- values
- ► types

- ► states
- statements

Theories and Automation

- ▶ refinement calculus
- well-typedness proof
- ▶ syntax directed proof automation

How did we prove refinement (update to monadic sem.)? Data Relations and Refinement Predicate

- values
- ► types

▶ several Cogent types correspond to the same C type

- states
- ▶ statements

Theories and Automation

- ▶ refinement calculus
- well-typedness proof
- ▶ syntax directed proof automation

How did we prove refinement (update to monadic sem.)? Data Relations and Refinement Predicate

- values
- ► types
 - ► several COGENT types correspond to the same C type
 - ▶ partial type erasure removes linearity from COGENT type
- states
- statements (corres $R \ e \ p_m \ U \ \Gamma \ \mu \ \sigma$)

Theories and Automation

- ▶ refinement calculus
- well-typedness proof
- ▶ syntax directed proof automation

Refinement Calculus: VAR rule

$$\frac{(x \mapsto v_u) \in U \quad val\text{-}rel \ v_u \ v_m}{\text{corres } R \ x \ (\text{return } v_m) \ U \ \Gamma \ \mu \ \sigma} \text{Var}$$

Refinement Calculus: IF rule

$$\begin{split} & \Gamma_1 \vdash c : \texttt{Bool} \qquad (bool \ c' = 0 \lor bool \ c' = 1) \\ c \text{ is a COGENT boolean equal to } (bool \ c' \neq 0) \\ \hline & \mathbf{corres} \ R \ e_1 \ e_1' \ U \ \Gamma_2 \ \mu \ \sigma \qquad \mathbf{corres} \ R \ e_2 \ e_2' \ U \ \Gamma_2 \ \mu \ \sigma \\ \hline & \mathbf{corres} \ R \ (\mathbf{if} \ c \ \mathbf{then} \ e_1 \ \mathbf{else} \ e_2) \\ \hline & (\mathbf{do} \ x \leftarrow \mathbf{condition} \ (bool \ c' \neq 0) \ e_1' \ e_2'; \ \mathbf{return} \ x \ \mathbf{od}) \ U \ (\Gamma_1 \Gamma_2) \ \mu \ \sigma \end{split}$$

► COGENT compiler proves via an automated Isabelle tactic that the deep embedding of input program is well-typed

- ► COGENT compiler proves via an automated Isabelle tactic that the deep embedding of input program is well-typed
- proving refinement requires access to typing judgements of subexpressions of the program

- COGENT compiler proves via an automated Isabelle tactic that the deep embedding of input program is well-typed
- proving refinement requires access to typing judgements of subexpressions of the program
- ► due to linear types, it is not easy to statically infer that subexpressions of a well-typed program are well-typed

- COGENT compiler proves via an automated Isabelle tactic that the deep embedding of input program is well-typed
- proving refinement requires access to typing judgements of subexpressions of the program
- due to linear types, it is not easy to statically infer that subexpressions of a well-typed program are well-typed
- our proof automation then uses well-typedness theorems to discharge typing assumptions in refinement calculus

- specialize refinement calculus
 - ▶ some of the rules in the calculus are pretty complicated
 - we specialize complex rules to one for each type (of record)

- specialize refinement calculus
 - ▶ some of the rules in the calculus are pretty complicated
 - we specialize complex rules to one for each type (of record)
- ▶ tactic compositionally applies syntax directed rules

- specialize refinement calculus
 - ▶ some of the rules in the calculus are pretty complicated
 - we specialize complex rules to one for each type (of record)
- ▶ tactic compositionally applies syntax directed rules
- ▶ tactic uses well-typedness theorems to discharge typing assumptions

- specialize refinement calculus
 - ▶ some of the rules in the calculus are pretty complicated
 - we specialize complex rules to one for each type (of record)
- ▶ tactic compositionally applies syntax directed rules
- ▶ tactic uses well-typedness theorems to discharge typing assumptions
- ▶ refinement for foreign functions is assumed

Conclusion

- We developed a compositional refinement calculus and proof rules to create a fully automatic refinement certificate from COGENT to C.
- ► Through co-generation of code and proofs our framework significantly reduces the cost of reasoning about efficient C.
- It does so by discharging cumbersome safety obligations and providing an embedding more amenable to verification.
- Our framework was applied to two real world file systems.

Future work

- ▶ Speed C-Parser and AutoCorres for our very large dev.
 - ▶ BilbyFs generated code is \approx 1.8 x the size of seL4
- ► Add optimizations (additional to ones we get by using gcc)
- Verify a library of abstract data types

Meet the other Verification/PL Folks


Now that you know about COGENT, consider trying it out!

COGENT: co-generation of code and proofs significantly reduces the cost of reasoning about efficient C.

Thanks for Listening, Questions?

Christine Rizkallah & al. | Automatic Verification of Refinement from COGENT to C